

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER
2002DE429

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

104525151

INTERNATIONAL APPLICATION NO.
PCT/EP03/08463

INTERNATIONAL FILING DATE
31 July 2003 (31.07.2003)

PRIORITY DATE CLAIMED
21 August 2002 (3.08.2002) and 30 August 2002

TITLE OF INVENTION Copolymers Made Of Alkylene Oxides And Glycidyl Ethers And Use Thereof As Polymerizable Emulsifiers

APPLICANT(S) FOR DO/EO/US Uwe FALK, Hendrik AHRENS, Klaus POELLMANN, Martin GLOS and Eckart KRAEMER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Express Mail # ER 913329789 US; copy of International Search Report.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 10px;">10/525151</div>		INTERNATIONAL APPLICATION NO. <div style="font-size: 1.2em; font-weight: bold; margin-top: 10px;">PCT/EP03/08463</div>		ATTORNEY'S DOCKET NUMBER <div style="font-size: 1.2em; font-weight: bold; margin-top: 10px;">2002DE429</div>											
21. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee..... \$300.00 <input checked="" type="checkbox"/> b) Examination fee..... \$200.00 <input checked="" type="checkbox"/> c) Search fee..... \$500.00 <div style="text-align: right; margin-top: 10px;">TOTAL OF ABOVE CALCULATIONS = \$1000.00</div> <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">Applicant use</th> <th style="text-align: left;">Office use only</th> </tr> <tr> <td>\$ 300.00</td> <td></td> </tr> <tr> <td>\$ 200.00</td> <td></td> </tr> <tr> <td>\$ 500.00</td> <td></td> </tr> <tr> <td>\$ 1,000.00</td> <td></td> </tr> </table>		Applicant use	Office use only	\$ 300.00		\$ 200.00		\$ 500.00		\$ 1,000.00	
Applicant use	Office use only														
\$ 300.00															
\$ 200.00															
\$ 500.00															
\$ 1,000.00															
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE												
23 - 100 =	- 77 /50 =		x \$250.00	\$ 0.00											
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00											
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE												
Total claims	4 - 20 =	0	x \$50.00	\$ 0.00											
Independent claims	1 - 3 =	0	x \$200.00	\$ 0.00											
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 0.00											
TOTAL OF ABOVE CALCULATIONS =				\$ 1,000.00											
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0.00											
SUBTOTAL =				\$ 1,000.00											
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00											
TOTAL NATIONAL FEE =				\$ 1,000.00											
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00											
TOTAL FEES ENCLOSED =				\$ 1,040.00											
Amount to be refunded:					\$										
Amount to be charged					\$										

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 03-2060 in the amount of \$ 1,040.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2060. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Richard P. Silverman
 Clariant Corporation
 Intellectual Property Department
 4000 Monroe Road
 Charlotte, NC 28205

SIGNATURE

Richard P. Silverman

NAME

36,277

REGISTRATION NUMBER

10/525151
DT01 Rec'd PCT/PT 18 FEB 2005

Express Mail Label Number ER 913329789 US
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Docket: 2002DE429

Uwe FALK et al.

Serial No.: to be assigned

Filed: February 18, 2005

For: Copolymers Made Of Alkylene Oxides And Glycidyl Ethers And Use Thereof
As Polymerizable Emulsifiers

Transmittal Letter
Notification of Amendments Under PCT Article 34

Mail Stop:
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to the examination of the above-identified application, an Amendment was filed under Article 34 of the Patent Cooperation Treaty prior to the International Preliminary Examination. Please note that the attached page for claims 1 - 3 were filed with the European Patent Office. We enclose an English translation of the claims for your convenience.

Applicant respectfully requests submission of these pages before examination of the application and before entry of the Preliminary Amendment.

Respectfully submitted,



Richard P. Silverman
Registration No. 36,277

(CUSTOMER NUMBER 25,255)

Clariant Corporation
Industrial Property Department
4000 Monroe Road
Charlotte, NC 28205
Phone 704 331-7156
Fax 704 331-7707

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**Express Mail Label Number ER 913329789 US****Date of Mailing: February 18, 2005****CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:


- CERTIFICATION UNDER 37 CFR 1.10 (Express Mail) (1 page)
- TRANSMITTAL LETTER TO THE DO/EO/US (2 pages) w/ duplicate (4 pages)
- Executed Assignment with Transmittal Cover Letter (2 pages)
- Copy of Search Report (2 pages)
- Transmittal Letter Notification of Amendments Under PCT Article 34 with 1 pg of Annex (2 pg)
- Preliminary Amendment with Abstract (05 pages)
- ENGLISH TRANSLATION OF APPLICATION (22 PAGES SPECIFICATION, claims) (claims 04 in number)
- Executed Declaration (2 pages)

Inventors: Uwe FALK
Hendrik AHRENS
Klaus POELLMANN
Martin GLOS
Eckart KRAEMER

For: Copolymers Made Of Alkylene Oxides And Glycidyl Ethers
And Use Thereof As Polymerizable Emulsifiers

International Application No.: PCT/EP03/08463
International Filing Date: 31 July 2003 (31.07.2003)
Priority Filing Dates: 21 August 2003 (21.08.2002)
30 August 2002 (30.08.2002)

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Stop:, in accordance with 37 CFR 1.10.


Vicki L. Sgro